

**Speakers:** Roy Lo FCPA (Aust.), Deputy Managing Partner, SHINEWING (HK) CPA Limited  
Gloria So, Risk Manager, SHINEWING Risk Services Limited

This seminar will provide pre-listed companies a general idea of how to build up an effective control system and strong corporate governance structure to facilitate regulatory compliance and exceed market expectations after listing.

## Course outline

- Overview of statutory requirements and guidelines (e.g. Listing Rules Practice note 21 and HKICPA Technical Bulletin – AATB1) on internal control of IPO projects
- Practical experience sharing: planning an effective internal control system and corporate governance structure before listing
- Potential challenges on developing the infrastructure for an internal control system and corporate governance

## About the speakers

**Roy Lo FCPA (Aust.)** is currently a Deputy Managing Partner of SHINEWING (HK) CPA Limited. He has accumulated over 17 years of auditing, merger and acquisition, IPO advisory experience in different industries. Roy is mainly specialised in assurance and listing projects for both PRC and Hong Kong companies. He is also responsible for various risk management and internal control engagements for his clients.

**Gloria So** has around 8 years of experience in enterprise risk management, internal controls, information technology general control review, financial due diligence and external audit. She also takes charge of pre-IPO due diligence engagements for Hong Kong-based listed companies.



## Proficient

<b>Date:</b>	Wednesday 11 July 2012
<b>Time:</b>	6.00pm – 6.30pm (Registration) 6.30pm – 8.00pm (Workshop)
<b>Venue:</b>	CPA Australia 20/F Tai Yau Building 181 Johnston Road Wanchai
<b>Language:</b>	Cantonese, supplemented with English
<b>Fee:</b>	<b>Member:</b> HK\$225 <b>Non-member:</b> HK\$375
<b>Certification:</b>	Certificate of attainment will be issued to participants for completing the workshop

# IPO readiness: internal control systems

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Gloria So, Risk Manager, SHINEWING Risk Services Limited

## Registration form

Title:	<input type="text"/>	Surname:	<input type="text"/>	First name:	<input type="text"/>
Member:	<input type="checkbox"/>	Non-member:	<input type="checkbox"/>	Membership no:	<input type="text"/>
Organisation:	<input type="text"/>			Position:	<input type="text"/>
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This workshop is categorised according to our Career Guidance System to help you identify the skills you need to enhance your role and for career development.

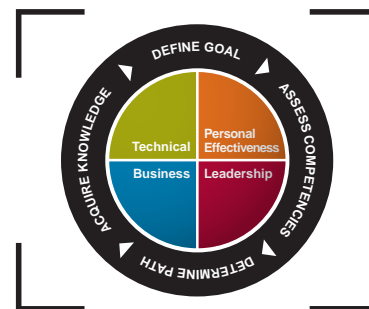
#### Four core knowledge areas:

- |                      |                                    |
|----------------------|------------------------------------|
| 1) Technical (green) | 3) Leadership (red)                |
| 2) Business (blue)   | 4) Personal effectiveness (orange) |

#### Competency level:

- ☐ **Competent:** Overview of topic; to gain competence and generalist knowledge
- ☐ **Proficient:** Intermediate level detail; to gain proficiency and professional confidence
- ☐ **Expert:** For leaders and specialists who want to achieve an expert level of competency
- ☐ **All levels:** Generalist knowledge; suitable for all competency levels

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