

# Anti-Money Laundering and Counter-Financing of Terrorism Advisory Services

## 打击洗钱及 恐怖分子资金筹集咨询服务

Against the backdrop of a rapidly changing business environment, more and more challenges are brought to financial institutions as they are exposed to a wide range of financial crimes including money laundering and terrorism financing, which could incur substantial financial loss and damage to the reputation of the businesses. As such, the law and regulations on financial transactions are being redefined and more stringent, Anti-Money Laundering (AML) and Counter-Financing of Terrorism (CFT) have been a critical topic in enterprise risk management. There is no doubt that organisations are facing regulators' stricter requirements and more proactive regulatory scrutiny on AML and financial crime controls.

在瞬息万变的商业环境中，金融机构面对各种与金融罪案有关的挑战，包括打击洗钱及恐怖分子资金筹集活动，有可能招致重大的财务损失并损害公司商誉。因此，有关当局重新定义并收紧有关金融交易的法律及法规，而打击洗钱及恐怖分子资金筹集一直是企业风险管理中的重要课题。毫无疑问，在打击洗钱及恐怖分子资金筹集及金融罪案管控方面，公司正面对监管机构更严格的要求及更主动的监管审查。

In recent years, it has been common to see an increased readiness of regulators to impose tougher penalties on financial institutions for their breaches of regulations, especially in the areas of money laundering, terrorist financing, and bribery and sanction breaches, where unprecedented fines have been levied.

Regulators, such as the Securities and Futures Commission, also indicated the significant internal control deficiencies of some organisations and urged the management to develop a robust, sustainable and effective AML Framework including risk-based policies, procedures and controls to ensure strict compliance with the relevant legislative and regulatory requirements.

近年来，监管机构一般会向违反法规的金融机构，特别其牵涉到洗钱、恐怖分子融资活动、贿赂及违反制裁等范畴，处以较严厉的惩罚，其中所征收罚款金额之大更是前所未有。

监管机构，例如证券及期货事务监察委员会，亦指出某些公司在内部监控方面的重大缺陷，并敦促管理层制定稳健、可持续发展及有效的打击洗钱框架，包括以风险为本的政策、程序及控制措施，以确公司保严格遵守相关法规及监管要求。



We are able to provide appropriate and comprehensive advisory services in accordance with clients' requests in the compliance and monitoring of AML/CFT. We take a holistic, enterprise-wide approach to handle money laundering and terrorist financing risks. This approach enables clients to manage their risks across various sectors of business and in different jurisdictions, while helping the organisations fulfill all law and regulations stipulated by local regulators and realize the greatest value for shareholders, employees and other stakeholders.

Our risk management system, which focuses on AML/CFT compliance, covers governance and oversight, monitoring programme and process, employee training and onboarding, and documentation retention.

Our service offerings are categorized into diagnostic, governance and support services respectively, including:

在打击洗钱及恐怖分子资金筹集的合规监控方面，我们能够按照客户要求提供合适而全面的咨询服务。我们采用全面且覆盖企业层面的方法处理有关洗钱及恐怖分子融资活动的风险。此方法让客户能够管理各业务领域及不同司法管辖区的风险，同时协助公司满足当地监管机构订明的所有法律及法规，并为股东、雇员及其他持份者实现最大价值。

我们的风险管理系统侧重打击洗钱及恐怖分子资金筹集的合规性，涵盖管治及监督、监控计划及流程、雇员培训及入职以及文档备存等范畴。

我们的服务组合分别归类为诊断、管治及支援三类服务，包括：





## Our Strengths 我们的优势

Our team, with proven experience and in-depth industry knowledge, is able to help every client understand the potential threats and loopholes; address and mitigate the risks and challenges related to money laundering and terrorist financing with the most cost-effective and efficient solutions. Our advisory services also aim at improving the organisation's ability to meet the regulatory expectations with a sound AML/CFT system.

我们的团队拥有丰富经验及深厚的行业知识，以最具成本效益及最有效率的解决方案，协助每位客户了解潜在的威胁及漏洞；并应对及缓解与洗钱和恐怖分子资金筹集有关的风险及挑战。我们的咨询服务旨在以完善的打击洗钱及恐怖分子资金筹集系统来提高客户相关的能力以满足监管要求。



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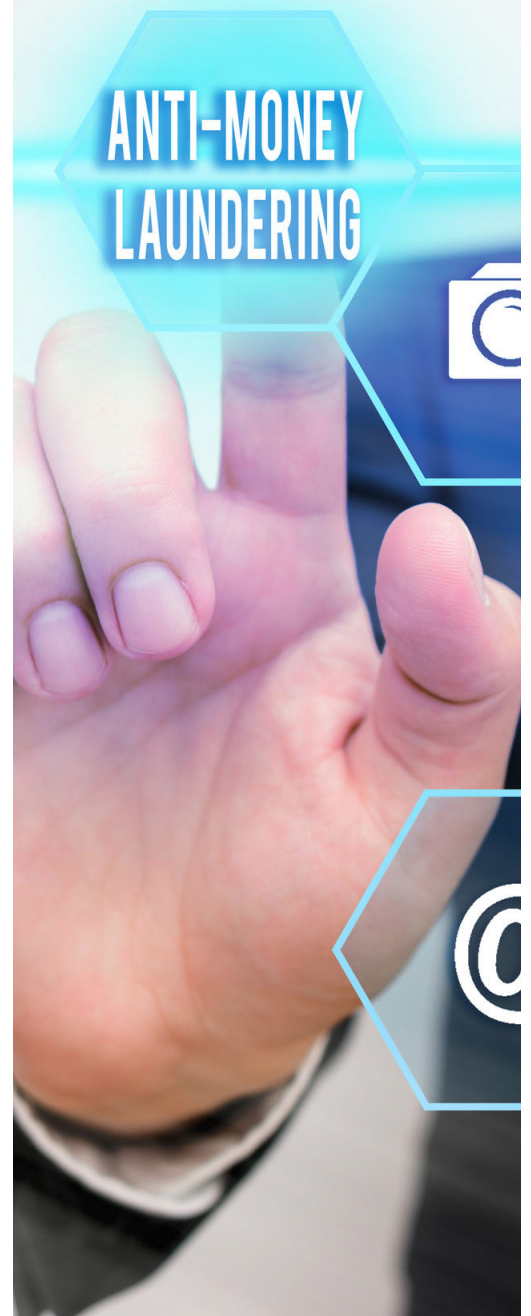
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